

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard  
Baltimore, Maryland 21244-1850



**OFFICE OF INFORMATION SERVICES**

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**DATE:** April 4, 2008

**TO:** All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and  
Demonstration Organizations Systems Staff

**FROM:** Alan Constantian /s/  
Director, Information Services Design and Development Group

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Director, Medicare Plan Payment Group

**SUBJECT: Week-at-a-Glance April 6<sup>th</sup> through April 13<sup>th</sup>**

The Week-at-a-Glance memo describes the cleanups that CMS is preparing to transmit along with Plan-submitted transactions to Plans over the next two weeks. The focus of each cleanup is described below.

Plans should also be aware that all information is approximate and subject to change. The MMA Help Desk will communicate any changes to the schedule that occur after this memo is published to Plans.

**Important Information to Note:**

*Maximum Number of Uncovered Months Incorrectly Calculated*

In a continuing effort to address Plan change transactions (type 72), which incorrectly received TRC 216 –“Number of Uncovered Months exceeds the maximum possible value”, CMS is encouraging Plans to *resubmit* any affected transactions with a date prior to March 1, 2008. These transactions will be processed and Plans can expect to receive legitimate acceptance or rejection TRCs.

**Sunday, April 6, 2008**

Transactions reprocessed as a result of the following cleanup will appear on the Plan's April 6th regular weekly TRR. The file will follow the standard naming convention:

**Gentran mailbox:**

P.Rxxxxx.TRWEEKR.D080406.Thhmmssst.pn

**Connect:Direct (Mainframe):**

zzzzzzzz.Rxxxxx.TRWEEKR.D080406.Thhmsst

**Connect:Direct (Non-Mainframe):**

[directory]Rxxxxx.TRWEEKR.D080406.Thhmsst

**Enrollment Reconciliation Transactions with TRC 165 - Continued**

CMS continues its efforts to address the underlying issues that caused a number of enrollment reconciliation transactions to reject with TRC 165 - System Delay.

In order to close the loop, CMS will reprocess the affected transactions. Plans should expect to receive one or more of the following Transaction Reply Codes (TRCs), but will not receive TRC 165:

- ◆ TRC 015 - Enrollment Cancelled
- ◆ TRC 016 - Enrollment Accepted, Out of Area
- ◆ TRC 017 - Enrollment Accepted, Payment Default Rate
- ◆ TRC 038 - Enrollment Rejected, Duplicate Transaction
- ◆ TRC 039 - Enrollment Rejected, Currently Enrolled in Same Plan
- ◆ TRC 106 - Rejected, Another Trans Rcvd with Later App Date
- ◆ TRC 109 - Rejected, Duplicate PBP Number
- ◆ TRC 112 - Rejected; Conflicting Effective Dates
- ◆ TRC 120 - Premium Withholding Option Change Accepted
- ◆ TRC 170 - Enroll/Change Accepted; Prem Withhold Direct Bill
- ◆ TRC 181 - Invalid PTD Premium Submitted, Corrected
- ◆ TRC 182 - Invalid PTC Premium Submitted, Corrected
- ◆ TRC 206 - The Part C Premium has been Corrected to Zero
- ◆ TRC 604 - Disenrollment
- ◆ TRC 607 - Enrollment Accepted as Submitted

Payment adjustments and premium updates may also occur depending on the requested effective date of the enrollment transaction.

**Note:** Since the 2007 enrollment reconciliation period is closed, *no Plan action is required.*

**TRC 165s for Overlapping Rates**

CMS has identified an issue with overlapping rates at the Contract, PBP and Segment levels, which caused MCO Corrections (Transaction Type 01) to be incorrectly rejected with TRC165 - System Delay.

This problem has been corrected and the affected transactions will be reprocessed. Plans should expect to receive one of the following Transaction Reply Codes (TRCs), but will not receive TRC 165:

- ◆ TRC 072 - Hospice Status Terminated
- ◆ TRC 077 - Medicaid Status Set
- ◆ TRC 078 - Medicaid Status Terminated
- ◆ TRC 081 - Part B Termination
- ◆ TRC 099 - Medicaid Period Change/Cancellation
- ◆ TRC 138 - Beneficiary Address Change to Outside the U.S.
- ◆ TRC 154 - Out of Area Status

Payment adjustments may also.

**Note:** Plans will be able to identify these transactions on their Monthly Membership Report (MMR) based on the receipt of one of the following Adjustment Reason Codes (ARC 07, 10,11).

**Sunday, April 13, 2008**

At this time, no cleanups are scheduled for inclusion on the April 13<sup>th</sup> regular weekly TRR. The file will follow the standard naming convention:

**Gentran mailbox:**

P.Rxxxxx.TRWEEKR.D080413.Thhmsst.pn

**Connect:Direct (Mainframe):**

zzzzzzzz.Rxxxxx.TRWEEKR.D080413.Thhmsst

**Connect:Direct (Non-Mainframe):**

[directory]Rxxxxx.TRWEEKR.D080413.Thhmsst

Please direct questions or concerns to the MMA Help Desk at [mmahelp@cms.hhs.gov](mailto:mmahelp@cms.hhs.gov) or 1-800-927-8069.